

03-23-01 A

Atty. Dkt. No. 73139/101



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Malackowski et al.

Title: SYSTEM FOR AND METHOD OF RISK MINIMIZATION AND ENHANCED RETURNS IN AN INTELLECTUAL CAPITAL BASED VENTURE INVESTMENT

Appl. No.: Unknown

Filing Date: Unknown

Examiner: Unknown

Art Unit: Unknown

CERTIFICATE OF EXPRESS MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

EL564017736US March 22, 2001
(Express Mail Label Number) (Date of Deposit)

Deborah A. Kocorowski
(Printed Name)
Deborah A. Kocorowski
(Signature)



UTILITY PATENT APPLICATION
TRANSMITTAL

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

James E. Malackowski
David A. Kennedy
Roger L. May

Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- Specification, Claim(s), and Abstract (19 pages).
- Informal drawings (3 sheets, Figures 1-3).
- Declaration and Power of Attorney (5 pages).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	22	- 20	= 2	x \$18.00	\$36.00
Independents:	3	- 3	= 0	x \$80.00	\$0.00
If any Multiple Dependent Claim(s) present:				+ \$270.00	\$0.00
				SUBTOTAL:	\$746.00
[X]				Small Entity Fees Apply (subtract ½ of above):	\$373.00
				TOTAL FILING FEE:	\$373.00

[X] A check in the amount of \$373.00 to cover the filing fee is enclosed.

[] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date March 22, 2001

By 

FOLEY & LARDNER
Firststar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5728
Facsimile: (414) 297-4900

Paul S. Hunter
Attorney for Applicant
Registration No. 44,787